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**Subsidiary Body for Implementation****Report of the Subsidiary Body for Implementation on its  
thirty-seventh session, held in Doha from 26 November to  
2 December 2012**

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**Draft texts under consideration by the Subsidiary Body  
for Implementation**

Capacity-building under the Convention for developing countries

Appeals mechanism

## **I. Opening of the session**

(Agenda item 1)

1. The thirty-seventh session of the Subsidiary Body for Implementation (SBI) was held at the Qatar National Convention Centre in Doha, Qatar, from 26 November to 2 December 2012.

2. The Chair of the SBI, Mr. Tomasz Chruszczow (Poland), opened the session on Monday, 26 November, and welcomed all Parties and observers. He also welcomed Mr. Samuel Ortiz Basualdo (Argentina) as Vice-Chair of the SBI and Mr. Petrus Muteyauli (Namibia) as Rapporteur.

## **II. Organizational matters**

(Agenda item 2)

### **A. Adoption of the agenda**

(Agenda item 2(a))

3. At its 1<sup>st</sup> meeting, on 26 November, the SBI considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/SBI/2012/16). At the same meeting, the agenda was adopted as follows, with agenda sub-item 4(b) held in abeyance:

1. Opening of the session.
2. Organizational matters:
  - (a) Adoption of the agenda;
  - (b) Organization of the work of the session;
  - (c) Election of officers other than the Chair;
  - (d) Election of replacement officers.
3. National communications from Parties included in Annex I to the Convention:
  - (a) Status of submission and review of fifth national communications from Parties included in Annex I to the Convention;
  - (b) Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2010;
  - (c) Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2012.
4. National communications from Parties not included in Annex I to the Convention:
  - (a) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;

- (b) Information contained in national communications from Parties not included in Annex I to the Convention (*agenda item held in abeyance*);<sup>1</sup>
- (c) Provision of financial and technical support.
- 5. Nationally appropriate mitigation actions by developing country Parties:
  - (a) Prototype of the registry;
  - (b) Composition, modalities and procedures of the team of technical experts under international consultations and analysis.
- 6. Matters relating to finance:
  - (a) Review of the financial mechanism;
  - (b) Report of the Global Environment Facility to the Conference of the Parties and additional guidance to the Global Environment Facility;
  - (c) Initial review of the Adaptation Fund under the Kyoto Protocol;
  - (d) Further guidance to the Least Developed Countries Fund.
- 7. Matters relating to Article 4, paragraphs 8 and 9, of the Convention:
  - (a) Progress on the implementation of decision 1/CP.10;
  - (b) Matters relating to the least developed countries.
- 8. Report of the Adaptation Committee.
- 9. National adaptation plans:<sup>2</sup>
  - (a) A process to enable least developed country Parties to formulate and implement national adaptation plans, building upon their experience in preparing and implementing national adaptation programmes of action;<sup>3</sup>
  - (b) Modalities and guidelines for least developed country Parties and other developing country Parties to employ the modalities formulated to support national adaptation plans.<sup>4</sup>
- 10. Approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change to enhance adaptive capacity<sup>5</sup>
  - Activities to be undertaken under the work programme.
- 11. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol.
- 12. Forum and work programme on the impact of the implementation of response measures.
- 13. Development and transfer of technologies:
  - (a) Report of the Technology Executive Committee;

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<sup>1</sup> As there was no consensus to include this item on the agenda, it was held in abeyance. On a proposal by the Chair, the SBI decided to include this item on the provisional agenda for its thirty-eighth session.

<sup>2</sup> Decision 1/CP.16, paragraphs 15–18.

<sup>3</sup> Decision 1/CP.16, paragraphs 15, 17 and 18.

<sup>4</sup> Decision 1/CP.16, paragraphs 15–18.

<sup>5</sup> Decision 1/CP.16, paragraphs 26–29.

- (b) Matters relating to the Climate Technology Centre and Network: selection of the host and constitution of the advisory board;
  - (c) Poznan strategic programme on technology transfer.
14. Article 6 of the Convention.
  15. Capacity-building:
    - (a) Capacity-building under the Convention;
    - (b) Capacity-building under the Kyoto Protocol.
  16. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance.
  17. Procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism.
  18. Review of the design of the commitment period reserve.
  19. Report of the administrator of the international transaction log under the Kyoto Protocol.
  20. Administrative, financial and institutional matters:
    - (a) Audited financial statements for the biennium 2010–2011;
    - (b) Budget performance for the biennium 2012–2013.
  21. Other matters.
  22. Report on the session.

4. At its 2<sup>nd</sup> meeting, on 27 November, statements were made by representatives of 11 Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of the Umbrella Group, one on behalf of the Alliance of Small Island States (AOSIS), one on behalf of the African States, one on behalf of the Environmental Integrity Group, one on behalf of the European Union and its 27 member States and Croatia, one on behalf of the least developed countries (LDCs), one on behalf of the Coalition for Rainforest Nations, one on behalf of the Bolivarian Alliance for the Peoples of Our America – Peoples' Trade Treaty and one on behalf of the Central American Integration System (SICA). Statements were also made on behalf of business and industry non-governmental organizations, trade unions non-governmental organizations, women and gender, environmental non-governmental organizations, youth non-governmental organizations and indigenous peoples organizations.

## **B. Organization of the work of the session**

(Agenda item 2(b))

5. The SBI considered this agenda sub-item at its 2<sup>nd</sup> meeting, at which the Chair drew attention to the proposed programme of work posted on the UNFCCC website. On a proposal by the Chair, the SBI agreed to proceed on the basis of that programme of work.

6. The Chair also informed delegates that the secretariat, together with the Integrated Sustainable PaperSmart Services (ISPS) secretariat, was adopting the United Nations PaperSmart services for the session, with pre-session and in-session official documentation

issued digitally in the six official United Nations languages. Statements made during the session can also be retrieved through the ISPS portal.<sup>6</sup>

**C. Election of officers other than the Chair**

(Agenda item 2(c))

7. The SBI considered this agenda sub-item at its 2<sup>nd</sup> meeting and at its 4<sup>th</sup> meeting, held on 1 and 2 December.<sup>7</sup> At its 2<sup>nd</sup> meeting, the Chair recalled rule 27 of the draft rules of procedure being applied, whereby the SBI is expected to elect its Vice-Chair and Rapporteur.

8. At the 4<sup>th</sup> meeting, the Chair informed Parties that nominations for the post of Vice-Chair had not been received, and the SBI requested the Conference of the Parties (COP), as an exception to rule 27, paragraph 6, of the draft rules of procedure being applied, to elect the Vice-Chair and the Rapporteur of the SBI at the closing plenary of its eighteenth session, on 7 December.<sup>8</sup>

**D. Election of replacement officers**

(Agenda item 2(d))

9. No election of replacement officers was held.

**III. National communications from Parties included in Annex I to the Convention**

(Agenda item 3)

**A. Status of submission and review of fifth national communications from Parties included in Annex I to the Convention**

(Agenda item 3(a))

**Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2010**

(Agenda item 3(b))

**Proceedings**

10. The SBI considered these agenda sub-items at its 2<sup>nd</sup> meeting and took note of documents FCCC/SBI/2012/INF.11 and FCCC/SBI/2012/31.

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<sup>6</sup> <<http://www3.unog.ch/dohaclimatechange/content/subsidiary-body-implementation-sbi-37>>.

<sup>7</sup> The 4<sup>th</sup> meeting was suspended and resumed three times on 1 and 2 December and is referred to as the 4<sup>th</sup> meeting, 4<sup>th</sup> meeting (Part 2), 4<sup>th</sup> meeting (Part 3) and 4<sup>th</sup> meeting (Part 4) on the webcast.

<sup>8</sup> The COP elected Mr. Robert F. Van Lierop (Saint Kitts and Nevis) as Vice-Chair and Ms. Mabafokeng F. Mahabisa (Lesotho) as Rapporteur, who will serve for the thirty-eighth and thirty-ninth sessions of the SBI.



## **B. Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2012**

(Agenda item 3(c))

### **Proceedings**

11. The SBI considered this agenda sub-item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. At its 2<sup>nd</sup> meeting, the SBI took note of document FCCC/KP/CMP/2012/9 and Add.1.

12. At its 4<sup>th</sup> meeting, the SBI recommended draft conclusions<sup>9</sup> on this matter for adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its eighth session.<sup>10</sup>

## **IV. National communications from Parties not included in Annex I to the Convention**

(Agenda item 4)

### **A. Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention**

(Agenda item 4(a))

#### **1. Proceedings**

13. The SBI considered this agenda sub-item at its 1<sup>st</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2012/17, FCCC/SBI/2012/18, FCCC/SBI/2012/25, FCCC/SBI/2012/26, FCCC/SBI/2012/28, FCCC/SBI/2012/32 and FCCC/SBI/2012/INF.15. Statements were made by representatives of two Parties. At the 1<sup>st</sup> meeting, the Chair invited Ms. Ruleta Camacho (Antigua and Barbuda), Chair of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE), to report on the progress of the work of the CGE.

14. At its 1<sup>st</sup> meeting, the SBI agreed to consider this agenda sub-item in informal consultations facilitated by Ms. Anne Rasmussen (Samoa) and Mr. Kiyoto Tanabe (Japan).

15. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>11</sup>

#### **2. Conclusions**

16. The SBI welcomed the progress report on the work of the CGE.<sup>12</sup> It acknowledged that the CGE has made a substantial contribution to improving the process of and preparation of national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) by providing technical advice and support to non-Annex I Parties under its work programme for 2010–2012.

17. It also welcomed the following progress reports on the five CGE regional hands-on training workshops for non-Annex I Parties, which were held between April and October 2012:

<sup>9</sup> Adopted as document FCCC/SBI/2012/L.28.

<sup>10</sup> For the text of the conclusions see document FCCC/KP/CMP/2012/13, paragraph 91.

<sup>11</sup> Adopted as document FCCC/SBI/2012/L.53/Rev.1.

<sup>12</sup> FCCC/SBI/2012/28.

(a) The progress report on the work of the CGE on the hands-on training workshop for the Africa region on national greenhouse gas (GHG) inventories;<sup>13</sup>

(b) The progress report on the work of the CGE on the hands-on training workshop for the Latin America and Caribbean region on vulnerability and adaptation assessment;<sup>14</sup>

(c) The progress report on the work of the CGE on the hands-on training workshop for the Latin America and Caribbean region on mitigation assessment;<sup>15</sup>

(d) The progress report on the work of the CGE on the hands-on training workshop for the Africa region on mitigation assessment;<sup>16</sup>

(e) The progress report on the work of the CGE on the hands-on training workshop for the Asia and Pacific region on vulnerability and adaptation assessment.<sup>17</sup>

18. The SBI expressed its gratitude to the Governments of Namibia, Antigua and Barbuda, Ghana and Lao People's Democratic Republic for hosting the workshops referred to in paragraph 17 above.

19. The SBI commended the efforts made by the CGE, supported by the secretariat, to deliver the content of the CGE regional hands-on training workshops referred to in paragraph 17 above remotely via a videoconferencing tool, thereby facilitating the maximum participation of representatives of non-Annex I Parties in the workshops. In addition, it welcomed the efforts made by non-Annex I Parties to participate in the workshops remotely. It encouraged Parties to continue making use of available remote participation facilities and nominating national experts to participate in such training workshops in the future.

20. The SBI thanked the Parties included in Annex I to the Convention (Annex I Parties)<sup>18</sup> and the multilateral organization<sup>19</sup> that have made financial contributions towards the implementation of the work of the CGE.

21. The SBI noted with appreciation the updated training materials on national GHG inventories, vulnerability and adaptation, and mitigation assessments, which are available on the UNFCCC website. It requested the secretariat to translate the updated training materials which are currently available only in English into the other official United Nations languages. The SBI also noted the value of translating the updated training materials into other languages.

22. The SBI also noted with appreciation the progress made by the CGE in implementing its planned activities, such as the development of e-learning programmes, based on the updated training materials referred to in paragraph 21 above, and the establishment of a web-based network for experts involved in the preparation of national communications from non-Annex I Parties. It welcomed the concept note on the e-learning programmes on the preparation of national communications from non-Annex I Parties and reiterated its request for the secretariat to develop the e-learning programmes on the basis of that concept note.

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<sup>13</sup> FCCC/SBI/2012/25.

<sup>14</sup> FCCC/SBI/2012/17.

<sup>15</sup> FCCC/SBI/2012/18.

<sup>16</sup> FCCC/SBI/2012/26.

<sup>17</sup> FCCC/SBI/2012/32.

<sup>18</sup> European Union, Finland, Japan, Norway, Romania, Switzerland and United Kingdom of Great Britain and Northern Ireland.

<sup>19</sup> The Technical Support Unit for the Intergovernmental Panel on Climate Change Task Force on National Greenhouse Gas Inventories.

23. The SBI continued, but did not conclude, its consideration on the term and mandate of the CGE and the need for the continuation of the group. The SBI agreed to invite the COP at its eighteenth session to provide further guidance in order to conclude this matter.

24. The SBI recommended the draft decision text contained in the annex to document FCCC/SBI/2012/L.53/Rev.1 for consideration and finalization by the COP at its eighteenth session.<sup>20</sup>

25. The SBI took note of the estimated budgetary implications, as provided by the secretariat, of the activities to be undertaken by it pursuant to the provisions contained in paragraphs 21 and 22 above.

26. Further, the SBI urged Parties included in Annex II to the Convention and other Annex I Parties in a position to do so to provide financial resources to enable the CGE to implement the activities planned in accordance with its work programme in a timely manner. It also encouraged bilateral, multilateral and international organizations to continue supporting the work of the CGE.

27. The SBI requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

## **B. Information contained in national communications from Parties not included in Annex I to the Convention**

(Agenda item 4(b) *held in abeyance*)

## **C. Provision of financial and technical support**

(Agenda item 4(c))

### **1. Proceedings**

28. The SBI considered this agenda sub-item at its 1<sup>st</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2012/INF.10 and FCCC/SBI/2012/MISC.15. Statements were made by representatives of two Parties.

29. At the 1<sup>st</sup> meeting, the Chair of the SBI invited the representative of the secretariat of the Global Environment Facility (GEF) to make a statement. At the same meeting, the SBI agreed to consider this agenda sub-item in informal consultations facilitated by Ms. Rasmussen and Mr. Tanabe.

30. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>21</sup>

### **2. Conclusions**

31. The SBI took note of the information provided by the secretariat of the GEF on the financial support that it has provided for the preparation of national communications and on the arrangements made for the provision of financial support for biennial update reports (BURs) from non-Annex I Parties.<sup>22</sup>

32. The SBI took note of the concerns raised by non-Annex I Parties on the availability of sufficient financial resources, as well as technical support, for the preparation of BURs from non-Annex I Parties.

<sup>20</sup> For the text as adopted, see decision 18/CP.18.

<sup>21</sup> Adopted as document FCCC/SBI/2012/L.52.

<sup>22</sup> FCCC/SBI/2012/INF.10 and FCCC/CP/2012/6/Add.1 and 2.

33. The SBI noted with concern that as of 1 October 2012 the GEF secretariat had only received four requests for support to prepare BURs. The SBI, recalling decision 2/CP.17, paragraph 41(d), reiterated its urging of non-Annex I Parties to submit, as applicable, their requests to the GEF for support for the preparation of their first BUR, in a timely manner.<sup>23</sup> It also encouraged the GEF agencies to facilitate the preparation and submission of project proposals from non-Annex I Parties for the preparation of their BURs.

34. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of national communications by non-Annex I Parties, in accordance with decision 10/CP.2, paragraph 1(b), including information on the dates of approval of funding and disbursement of funds. It also invited the GEF to continue providing information on the approximate date of completion of the draft national communications and an approximate date of submission to the secretariat of the national communications, for consideration by the SBI at its thirty-eighth session.

35. The SBI further invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of requests for funding and approval of funding, as well as of disbursement of funds, for consideration by the SBI at its thirty-eighth session.

36. It noted the submission<sup>24</sup> made by a non-Annex I Party on the detailed costs it incurred, including in-kind contributions, for the preparation of its most recent national communication and on the financial resources received through the GEF, as invited by the SBI at its thirty-fifth<sup>25</sup> and thirty-sixth<sup>26</sup> sessions. It invited other non-Annex I Parties that have not already done so to submit their views on this issue by 25 March 2013. The SBI requested the secretariat to compile these submissions into a miscellaneous document for consideration by the SBI at its thirty-eighth session.

37. It encouraged the GEF, in accordance with decision 4/CP.14, to continue to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.

38. The SBI took note with appreciation of the oral report by the GEF on the approval of a project that will be jointly administered by the United Nations Development Programme and the United Nations Environment Programme to continue providing logistical and technical support to non-Annex I Parties for the preparation of their national communications and BURs.

39. The SBI recommended that the COP at its eighteenth session request the GEF to provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs. It invited the GEF to report on this matter in its report to the COP at its nineteenth session.

40. The SBI noted with appreciation that, as at 1 December 2012, 141 initial, 81 second and three third national communications and one fourth national communication from non-Annex I Parties had been submitted. It also noted that 17 non-Annex I Parties are expected to submit their second national communications by the end of 2013.

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<sup>23</sup> FCCC/SBI/2012/15, paragraph 53.

<sup>24</sup> FCCC/SBI/2012/MISC.15.

<sup>25</sup> FCCC/SBI/2011/17, paragraph 39.

<sup>26</sup> FCCC/SBI/2012/15, paragraph 58.

## **V. Nationally appropriate mitigation actions by developing country Parties**

(Agenda item 5)

### **A. Prototype of the registry**

(Agenda item 5(a))

#### **Proceedings**

41. The SBI considered this agenda sub-item at its 1<sup>st</sup> and 4<sup>th</sup> meetings. At its 1<sup>st</sup> meeting, the SBI agreed to consider this agenda sub-item in a contact group, co-chaired by Mr. Soren Jacobsen (Denmark) and Mr. Wondwossen Sintayehu (Ethiopia).

42. At its 4<sup>th</sup> meeting, the SBI recommended a draft decision<sup>27</sup> for adoption by the COP at its eighteenth session.<sup>28</sup>

### **B. Composition, modalities and procedures of the team of technical experts under international consultations and analysis**

(Agenda item 5(b))

#### **1. Proceedings**

43. The SBI considered this agenda sub-item at its 1<sup>st</sup> and 4<sup>th</sup> meetings. It had before it document FCCC/SBI/2012/INF.9. Statements were made by representatives of three Parties, including one speaking on behalf of the Group of 77 and China.

44. At its 1<sup>st</sup> meeting, the SBI agreed to consider this agenda sub-item in a contact group, co-chaired by Mr. Jacobsen and Mr. Sintayehu.

45. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>29</sup>

#### **2. Conclusions**

46. The SBI continued, but did not conclude, its consideration of the composition, modalities and procedures of the team of technical experts under international consultations and analysis. The SBI agreed to invite the COP at its eighteenth session to provide further guidance in order to conclude this matter.

47. SBI recommended the draft decision text contained in the annex to document FCCC/SBI/2012/L.50 for consideration and finalization by the COP at its eighteenth session.<sup>30</sup>

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<sup>27</sup> Adopted as document FCCC/SBI/2012/L.39.

<sup>28</sup> For the text as adopted, see decision 16/CP.18.

<sup>29</sup> Adopted as document FCCC/SBI/2012/L.50.

<sup>30</sup> For the text as adopted, see decision 17/CP.18.

## **VI. Matters relating to finance**

(Agenda item 6)

### **A. Review of the financial mechanism**

(Agenda item 6(a))

#### **Report of the Global Environment Facility to the Conference of the Parties and additional guidance to the Global Environment Facility**

(Agenda item 6(b))

#### **Further guidance to the Least Developed Countries Fund**

(Agenda item 6(d))

##### **Proceedings**

48. The SBI considered these agenda sub-items at its 1<sup>st</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2012/27, FCCC/SBI/2012/INF.10, FCCC/SBI/2012/INF.13, FCCC/SBI/2012/MISC.10, FCCC/SBI/2012/MISC.12 and Add.1 and FCCC/CP/2012/6 and Add.1 and 2.

49. At the 1<sup>st</sup> meeting, the Chair of the SBI invited the representative of the secretariat of the GEF to make a statement. At the same meeting, the SBI agreed to consider these agenda sub-items in informal consultations facilitated by Ms. Ana Fornells de Frutos (Spain) and Ms. Suzanty Sitorus (Indonesia).

50. At its 4<sup>th</sup> meeting, the SBI recommended draft decisions<sup>31</sup> on these agenda sub-items for adoption by the COP at its eighteenth session.<sup>32</sup>

### **B. Initial review of the Adaptation Fund under the Kyoto Protocol**

(Agenda item 6(c))

##### **Proceedings**

51. The SBI considered this agenda sub-item at its 1<sup>st</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2012/INF.2, FCCC/SBI/2012/INF.8/Rev.1, FCCC/SBI/2012/MISC.11 and Add.1, FCCC/KP/CMP/2011/6 and Add.1, FCCC/KP/CMP/2011/MISC.1 and FCCC/KP/CMP/2012/7. At its 1<sup>st</sup> meeting, the SBI agreed to consider this agenda sub-item in informal consultations facilitated by Ms. Camacho and Ms. Diane Barclay (Australia).

52. At its 4<sup>th</sup> meeting, the SBI recommended a draft decision<sup>33</sup> for adoption by the CMP at its eighth session.<sup>34</sup>

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<sup>31</sup> Adopted as documents FCCC/SBI/2012/L.45, FCCC/SBI/2012/L.48 and FCCC/SBI/2012/L.49, respectively.

<sup>32</sup> For the texts as adopted, see decisions 8/CP.18, 9/CP.18 and 10/CP.18, respectively.

<sup>33</sup> Adopted as document FCCC/SBI/2012/L.46.

<sup>34</sup> For the text as adopted, see decision 4/CMP.8.

## VII. Matters related to Article 4, paragraphs 8 and 9, of the Convention

(Agenda item 7)

### A. Progress on the implementation of decision 1/CP.10

(Agenda item 7(a))

#### Proceedings

53. The SBI considered this agenda sub-item at its 1<sup>st</sup> and 4<sup>th</sup> meetings.

54. At its 1<sup>st</sup> meeting, the SBI agreed to consider, at this session, this agenda sub-item jointly with agenda item 11 and agenda item 8 of the Subsidiary Body for Scientific and Technological Advice (SBSTA) in a joint SBI/SBSTA forum. At the same meeting, the SBI also agreed that the SBI Chair, Mr. Chruszczow, would undertake consultations with interested Parties on how to take up this agenda sub-item at the next session.

55. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions<sup>35</sup> presented in paragraphs 104–108 below. At the same meeting, the SBI agreed to continue, at its thirty-eighth session, consultations on how to take up this agenda sub-item.

### B. Matters relating to the least developed countries

(Agenda item 7(b))

#### 1. Proceedings

56. The SBI considered this agenda sub-item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it document FCCC/SBI/2012/27. Representatives of six Parties made statements, including one on behalf of the Group of 77 and China, one on behalf of the LDCs and one on behalf of SICA.

57. At the 2<sup>nd</sup> meeting, the Chair of the SBI invited Ms. Pepetua Latasi (Tuvalu), Chair of the Least Developed Countries Expert Group (LEG), to make a statement. At the same meeting, the SBI agreed to consider this agenda sub-item in informal consultations facilitated by Mr. Collin Beck (Solomon Islands).

58. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>36</sup>

#### 2. Conclusions

59. The SBI took note of the oral report by the Chair of the LEG and welcomed the report on the twenty-second meeting of the LEG, held in Funafuti, Tuvalu, from 26 to 29 September 2012.<sup>37</sup>

60. The SBI thanked the Government of Tuvalu for hosting the twenty-second meeting of the LEG, and for hosting the first regional training workshop on adaptation for the LDCs for 2012–2013 in Funafuti, Tuvalu, from 28 September to 3 October 2012.

61. It expressed its gratitude to the Governments of Australia, Canada, Germany, Ireland and Spain for providing financial resources in support of the work of the LEG.

<sup>35</sup> Adopted as document FCCC/SBI/2012/L.34.

<sup>36</sup> Adopted as document FCCC/SBI/2012/L.35.

<sup>37</sup> FCCC/SBI/2012/27.

62. The SBI noted with appreciation the contributions made by some Parties to the Least Developed Countries Fund (LDCF) and encouraged other Parties to do the same.

63. The SBI welcomed the submission of 47 national adaptation programmes of action (NAPAs) and three revised NAPAs to the secretariat as at 1 December 2012. It also welcomed the submission of project proposals to the GEF for the funding of the implementation of NAPAs under the LDCF by 45 of the countries that had completed the preparation of their NAPAs as at 1 December 2012.

64. The SBI expressed its appreciation to the LEG for the progress that it has made under its work programme for 2012–2013.<sup>38</sup>

65. The SBI welcomed the regional training workshops on adaptation for the LDCs for 2012–2013. It expressed its appreciation to the organizations, agencies and regional centres<sup>39</sup> that took part in the organization of the first regional training workshop on adaptation for the LDCs, referred to in paragraph 60 above. The SBI encouraged the LEG to continue to engage regional and other relevant organizations in its future activities.

66. The SBI requested the secretariat to prepare a synthesis report on the regional training workshops referred to in paragraph 65 above, to be made available for consideration by the SBI upon completion of the workshops.

67. The SBI welcomed the progress made by countries in accessing funds from the LDCF for the implementation of their NAPAs,<sup>40</sup> and requested the LEG, during its meetings, in collaboration with the GEF and its agencies, to further explore issues of some LDC Parties related to accessing funds from the LDCF for the implementation of their NAPAs. It further requested the LEG to include information on ways and means to enhance the implementation of NAPAs in the reports on its meetings for consideration by the SBI.

68. The SBI requested the LEG, in accordance with its mandate, to submit its views on the ways it could further support LDCs to prepare their national adaptation plans (NAPs), as listed in the report on the twenty-second meeting of the LEG,<sup>41</sup> for consideration by the SBI at its thirty-eighth session.

69. The SBI further requested the LEG, with the support of the secretariat and in collaboration with relevant organizations, to organize an LDC NAP event in conjunction with the thirty-eighth session of the SBI, taking into account its existing priority activities with respect to the LDC work programme.

70. The SBI took note of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to paragraphs 66 and 69 above.

71. The SBI further requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

72. The SBI requested the LEG to keep it informed of the efforts of the LEG in implementing its work programme over the period 2012–2013.

73. The SBI invited Parties in a position to do so to continue to provide resources in support of the implementation of the LEG work programme.

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<sup>38</sup> FCCC/SBI/2012/7, annex I.

<sup>39</sup> FCCC/SBI/2012/27, paragraph 28.

<sup>40</sup> FCCC/SBI/2012/27, paragraphs 8 and 9, and FCCC/CP/2012/6.

<sup>41</sup> FCCC/SBI/2012/27.



## VIII. Report of the Adaptation Committee

(Agenda item 8)

### Proceedings

74. The SBI considered this agenda item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it document FCCC/SB/2012/3.<sup>42</sup> Representatives of three Parties made statements.

75. At its 2<sup>nd</sup> meeting, the Chair of the SBI invited Ms. Christina Chan (United States of America), Vice-Chair of the Adaptation Committee, to make a statement. At the same meeting, the SBI agreed to consider this agenda item together with SBSTA agenda item 4 in informal consultations facilitated by Mr. Kishan Kumarsingh (Trinidad and Tobago).

76. At its 4<sup>th</sup> meeting, the SBI recommended a draft decision<sup>43</sup> for adoption by the COP at its eighteenth session.<sup>44</sup>

## IX. National adaptation plans<sup>45</sup>

(Agenda item 9)

### **A process to enable least developed country Parties to formulate and implement national adaptation plans, building upon their experience in preparing and implementing national adaptation programmes of action<sup>46</sup>**

(Agenda item 9(a))

### **Modalities and guidelines for least developed country Parties and other developing country Parties to employ the modalities formulated to support national adaptation plans<sup>47</sup>**

(Agenda item 9(b))

#### 1. Proceedings

77. The SBI considered these agenda sub-items at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2012/8, FCCC/SBI/2012/27, FCCC/SBI/2012/MISC.1, FCCC/SBI/2012/MISC.2 and Add.1, FCCC/SBI/2012/MISC.3, FCCC/SB/2012/3 and FCCC/CP/2012/6. Statements were made by representatives of three Parties, including one speaking on behalf of the LDCs.

78. At its 2<sup>nd</sup> meeting, the SBI agreed to consider these agenda sub-items in informal consultations facilitated by Mr. Richard Merzian (Australia) and Mr. Amjad Abdulla (Maldives).

79. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>48</sup>

<sup>42</sup> A corrigendum to the Adaptation Committee report was issued (see document FCCC/SB/2012/3/Corr.1).

<sup>43</sup> Adopted as document FCCC/SBI/2012/L.33.

<sup>44</sup> For the text as adopted, see decision 11/CP.18.

<sup>45</sup> Decision 1/CP.16, paragraphs 15–18.

<sup>46</sup> Decision 1/CP.16, paragraphs 15, 17 and 18.

<sup>47</sup> Decision 1/CP.16, paragraphs 15–18.

<sup>48</sup> Adopted as document FCCC/SBI/2012/L.41.

## 2. Conclusions

80. The SBI welcomed the documents considered under this agenda item,<sup>49</sup> including the report of the Adaptation Committee,<sup>50</sup> the Nairobi work programme on impacts, vulnerability and adaptation to climate change compilation of case studies on national adaptation planning processes,<sup>51</sup> and the report on the twenty-second meeting of the LEG.<sup>52</sup>

81. The SBI took note of the progress made by the LEG in providing technical guidance and support to the NAP process. It welcomed the technical guidelines for the NAP process prepared by the LEG and looked forward to the application of those guidelines by all Parties in the formulation of their NAPs, as appropriate.

82. The SBI noted the identification by the LEG of support needs of the LDCs for the formulation and implementation of NAPs,<sup>53</sup> and urged the GEF, its agencies and other relevant organizations to take the identified support needs into account in their efforts to support LDC Parties in undertaking the NAP process.

83. It welcomed the many opportunities to support the NAP process communicated by Parties, United Nations organizations and other organizations in their statements and submissions,<sup>54</sup> and invited them to continue to keep it informed of their efforts, in line with the provisions of decision 5/CP.17, paragraphs 32–35.

84. It also looked forward to the work to be undertaken by the Adaptation Committee on matters related to the formulation and implementation of NAPs by non-LDC developing country Parties and to receiving recommendations on such matters.

85. The SBI looked forward to the operationalization, no later than its thirty-ninth session, of the provision of support to LDC Parties for the NAP process under the LDCF.

86. It further looked forward to the operationalization of the provision of support to non-LDC Parties for the NAP process under the Special Climate Change Fund (SCCF).

87. The SBI invited developed country Parties to further contribute to the LDCF and SCCF to support the activities for the preparation of the NAP process in accordance with decision 1/CP.16, including paragraph 18, and other relevant decisions of the COP.

88. It also invited Parties and relevant organizations to continue to assist work on the NAP process in the LDCs.

89. The SBI requested the secretariat to compile an information paper on the NAP process, including on the efforts referred to in paragraph 83 above, in line with the provisions of decision 5/CP.17, paragraph 36, for consideration by the SBI at its forty-first session.

90. The SBI decided to recommend the draft decision text contained in the annex to document FCCC/SBI/2012/L.41 for consideration and finalization by the COP at its eighteenth session.<sup>55</sup>

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<sup>49</sup> FCCC/SBI/2012/8, FCCC/SBI/2012/27, FCCC/SB/2012/3 and FCCC/CP/2012/6.

<sup>50</sup> A corrigendum to the Adaptation Committee report, was issued (see document FCCC/SB/2012/3/Corr.1).

<sup>51</sup> FCCC/SBSTA/2012/INF.6.

<sup>52</sup> FCCC/SB/2012/27.

<sup>53</sup> FCCC/SBI/2012/27, paragraph 19.

<sup>54</sup> FCCC/SBI/2012/MISC.1, FCCC/SBI/2012/MISC.2 and Add.1 and FCCC/SBI/2012/MISC.3.

<sup>55</sup> For the text as adopted, see decision 12/CP.18.

## **X. Approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change to enhance adaptive capacity<sup>56</sup>**

### **- Activities to be undertaken under the work programme**

(Agenda item 10)

#### **1. Proceedings**

91. The SBI considered this agenda item at its 1<sup>st</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2012/29, FCCC/SBI/2012/INF.14, FCCC/SBI/2012/MISC.14 and Add.1 and 2 and FCCC/TP/2012/7. Representatives of four Parties made statements, including one on behalf of the Group of 77 and China, one on behalf of AOSIS and one on behalf of the LDCs.

92. At its 1<sup>st</sup> meeting, the SBI agreed to consider this agenda item in informal consultations facilitated by Mr. Lucas Di Pietro (Argentina) and Mr. Don Lemmen (Canada).

93. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>57</sup>

#### **2. Conclusions**

94. The SBI took note of the documents prepared for the session<sup>58</sup> and welcomed the exchange of views that took place during the informal pre-session meeting of Parties to facilitate the elaboration of draft recommendations on loss and damage associated with the adverse effects of climate change.<sup>59</sup>

95. It expressed its appreciation to the Governments of Ethiopia, Mexico, Thailand and Barbados for hosting the expert meetings referred to in decision 7/CP.17, paragraph 8(a), to the Governments of Australia, Canada, Germany, Japan, New Zealand, Spain, Sweden and Switzerland as well as the European Commission for providing financial support for the organization of the meetings, and to the organizations<sup>60</sup> that provided support for the participation of some experts in the meetings.

96. The SBI considered the progress made in the implementation of the work programme on loss and damage and noted that a range of approaches is required to address loss and damage associated with the adverse effects of climate change, including impacts related to extreme weather events and slow onset events, taking into consideration experience at all levels, including as referred to in document FCCC/SBI/2012/29.

97. The SBI decided to recommend the draft decision text contained in the annex to document FCCC/SBI/2012/L.44 for consideration and finalization by the COP at its eighteenth session.<sup>61</sup>

<sup>56</sup> Decision 1/CP.16, paragraphs 26–29.

<sup>57</sup> Adopted as document FCCC/SBI/2012/L.44.

<sup>58</sup> FCCC/SBI/2012/29, FCCC/SBI/2012/INF.14, FCCC/SBI/2012/MISC.14 and Add.1 and FCCC/TP/2012/7.

<sup>59</sup> The meeting was held in Doha, Qatar, on 24 November 2012.

<sup>60</sup> The African Climate Policy Centre of the United Nations Economic Commission for Africa, the Climate and Development Knowledge Network, the Munich Climate Insurance Initiative, the United Nations Development Programme and the United Nations International Strategy for Disaster Reduction.

<sup>61</sup> For the text as adopted, see decision 3/CP.18.

## **XI. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol**

(Agenda item 11)

### **Proceedings**

98. The SBI considered this agenda item at its 1<sup>st</sup> and 4<sup>th</sup> meetings.

99. At its 1<sup>st</sup> meeting, the SBI agreed to consider, at this session, this agenda item jointly with agenda sub-item 7(a) and agenda item 8 of the SBSTA in a joint SBI/SBSTA forum. At the same meeting, the SBI also agreed that the SBI Chair, Mr. Chruszczow, would undertake consultations with interested Parties on how to take up this sub-item at the next session.

100. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions<sup>62</sup> presented in paragraphs 104–108 below. At the same meeting, the SBI agreed to continue, at its thirty-eighth session, consultations on how to take up this agenda item.

## **XII. Forum and work programme on the impact of the implementation of response measures**

(Agenda item 12)

### **1. Proceedings**

101. The SBI considered this agenda item at its 1<sup>st</sup> and 4<sup>th</sup> meetings. It had before it document FCCC/SB/2012/MISC.2.

102. At its 1<sup>st</sup> meeting, the SBI agreed to consider this agenda item jointly with agenda item 8 of the SBSTA in a joint SBI/SBSTA forum co-chaired by the Chair of the SBI, Mr. Chruszczow, and the Chair of the SBSTA, Mr. Richard Muyungi (United Republic of Tanzania). It also agreed to consider, at this session, this agenda item jointly with agenda sub-item 7(a) and agenda item 11.

103. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>63</sup>

### **2. Conclusions**

104. The SBI and the SBSTA noted with appreciation the meeting of the forum on the impact of the implementation of response measures and the presentations<sup>64</sup> and exchanges

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<sup>62</sup> Adopted as document FCCC/SBI/2012/L.34.

<sup>63</sup> Adopted as document FCCC/SBI/2012/L.34.

<sup>64</sup> On area (a), presentations were made by representatives of Argentina on behalf of the Group of 77 and China, European Union, South Africa, Saudi Arabia, China and Venezuela (Bolivarian Republic of), by representatives of the South Centre, World Health Organization and Organization of the Petroleum Exporting Countries (OPEC) and by an expert. Those presentations are available at <<http://unfccc.int/7114>>. On area (h), presentations were made by representatives of Argentina on behalf of the Group of 77 and China, South Africa, Saudi Arabia and Venezuela (Bolivarian Republic of); a statement was made by a representative of Ghana on behalf of the African States. Presentations were also made by representatives of the Organisation for Economic Co-operation and Development, International Trade Union Confederation and OPEC and by an expert. Those presentations are available at <<http://unfccc.int/7113>>.

made during the in-forum workshops on areas (a)<sup>65</sup> and (h)<sup>66</sup> and the discussions on area (f)<sup>67</sup> of the work programme on the impact of the implementation of response measures (hereinafter referred to as the work programme).<sup>68</sup>

105. The SBI and the SBSTA requested their Chairs, with the support of the secretariat, to prepare reports on the in-forum workshops on areas (a) and (h), and to prepare a summary of the discussion by Parties on area (f), before the thirty-eighth sessions of the subsidiary bodies.

106. The SBI and the SBSTA agreed to consider the presentations, statements made by Parties, organizations and experts, reports and summary mentioned in paragraphs 104 and 105 above, together with the reports on the upcoming in-forum workshops on areas (b), (c), (d) and (g) of the work programme, at their thirty-ninth sessions during the review of the work of the forum, with a view to providing recommendations to the COP at its nineteenth session.

107. The SBI and the SBSTA confirmed their request to the secretariat to support, under the guidance of the Chairs of the SBI and the SBSTA, the continued work towards the implementation of the areas of the work programme in accordance with decision 8/CP.17, paragraph 1.

108. The SBI and the SBSTA reiterated their invitation, to relevant organizations and other stakeholders, to participate in the activities of the work programme.

### **XIII. Development and transfer of technologies**

(Agenda item 13)

#### **A. Report of the Technology Executive Committee**

(Agenda item 13(a))

##### **1. Proceedings**

109. The SBI considered this agenda sub-item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it document FCCC/SB/2012/2. A representative of one Party made a statement on behalf of the LDCs and the African States.

110. At its 2<sup>nd</sup> meeting, the Chair of the SBI invited Mr. Antonio Pflüger (Germany), Vice-Chair of the Technology Executive Committee, to make a statement. At the same meeting the SBI agreed to consider this agenda sub-item together with SBSTA agenda item 6 in a joint contact group, co-chaired by Mr. Carlos Fuller (Belize) and Mr. Zitouni Ould-Dada (United Kingdom of Great Britain and Northern Ireland).

111. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>69</sup>

<sup>65</sup> Sharing of information and expertise, including reporting and promoting understanding of positive and negative impacts of response measures.

<sup>66</sup> Building collective and individual learning towards a transition to a low greenhouse gas emitting society.

<sup>67</sup> Relevant aspects relating to the implementation of decisions 1/CP.10, 1/CP.13 and 1/CP.16 and Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol.

<sup>68</sup> FCCC/SBI/2012/15, annex I, and FCCC/SBSTA/2012/2, annex III.

<sup>69</sup> Adopted as document FCCC/SBI/2012/L.51.

## 2. Conclusions

112. Pursuant to decision 1/CP.16, paragraph 126, the SBI and the SBSTA considered the report on activities and performance of the Technology Executive Committee for 2012<sup>70</sup> and recommended the draft decision text contained in the annex to document FCCC/SBI/2012/L.51 for consideration and finalization by the COP at its eighteenth session.<sup>71</sup>

## B. Matters relating to the Climate Technology Centre and Network: selection of the host and constitution of the advisory board

(Agenda item 13(b))

### 1. Proceedings

113. The SBI considered this agenda sub-item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it document FCCC/SBI/2012/30. Representatives of two Parties made statements, including one on behalf of the LDCs and the African States.

114. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this agenda sub-item in a contact group, co-chaired by Mr. Fuller and Mr. Kunihiko Shimada (Japan).

115. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>72</sup>

### 2. Conclusions

116. The SBI welcomed the report prepared by the secretariat on the discussions on key elements of the potential host agreement for the Climate Technology Centre.<sup>73</sup>

117. Pursuant to decision 2/CP.17, paragraph 138(b) and (c), the SBI considered the report referred to above, including the draft memorandum of understanding regarding the hosting of the Climate Technology Centre and the constitution of the advisory board of the Climate Technology Centre and Network (CTCN), and recommended the draft decision text contained in the annex to document FCCC/SBI/2012/L.54 for consideration and finalization by the COP at its eighteenth session.<sup>74</sup>

## C. Poznan strategic programme on technology transfer

(Agenda item 13(c))

### 1. Proceedings

118. The SBI considered this agenda sub-item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it document FCCC/CP/2012/6. Representatives of two Parties made statements, including one on behalf of the LDCs and the African States.

119. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this agenda sub-item in a contact group co-chaired by Mr. Fuller and Mr. Shimada.

120. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>75</sup>

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<sup>70</sup> FCCC/SB/2012/2.

<sup>71</sup> For the text as adopted, see decision 13/CP.18.

<sup>72</sup> Adopted as document FCCC/SBI/2012/L.54.

<sup>73</sup> FCCC/SBI/2012/30.

<sup>74</sup> For the text as adopted, see decision 14/CP.18.

<sup>75</sup> Adopted as document FCCC/SBI/2012/L.37.

## 2. Conclusions

121. The SBI noted the report of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer.<sup>76</sup>

122. The SBI acknowledged the support provided by the GEF to assist 36 non-Annex I Parties in conducting their technology needs assessments (TNAs). It reiterated decision 11/CP.17, paragraph 2, in which the GEF was invited to continue to provide financial support to other non-Annex I Parties, as appropriate, to conduct or update their TNAs. It invited the GEF to report on its support provided to other non-Annex I Parties to conduct or update their TNAs in its report to the COP at its nineteenth session.

123. The SBI stressed the need for the further implementation of the element of the Poznan strategic programme on support for climate technology centres and a climate technology network,<sup>77</sup> which should be aligned with, and support, the operationalization and activities of the CTCN, taking into account decision 2/CP.17, paragraph 140.

124. The SBI invited the GEF to consult with the CTCN, through its advisory board, on the support the GEF will provide for the work of the CTCN and to report on the findings of those consultations to the COP at its nineteenth session.

## XIV. Article 6 of the Convention

(Agenda item 14)

### Proceedings

125. The SBI considered this agenda item at its 1<sup>st</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2012/3, FCCC/SBI/2012/4, FCCC/SBI/2012/5, FCCC/SBI/2012/19, FCCC/SBI/2012/MISC.4 and FCCC/CP/2011/7/Add.2. Representatives of five Parties made statements, including one on behalf of the Group of 77 and China.

126. At its 1<sup>st</sup> meeting, the SBI agreed to consider this agenda item in informal consultations facilitated by Mr. Tony Carritt (European Union).

127. At its 4<sup>th</sup> meeting, the SBI recommended a draft decision<sup>78</sup> for adoption by the COP at its eighteenth session.<sup>79</sup>

## XV. Capacity-building

(Agenda item 15)

### A. Capacity-building under the Convention

(Agenda item 15(a))

#### 1. Proceedings

128. The SBI considered this agenda sub-item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2012/20, FCCC/SBI/2012/21, FCCC/SBI/2012/22 and FCCC/SBI/2012/MISC.9. Representatives of three Parties made statements, including one on behalf of the Group of 77 and China and one on behalf of AOSIS.

<sup>76</sup> FCCC/CP/2012/6, part I, section 2(e), part II, section 4, and annex 5.

<sup>77</sup> FCCC/SBI/2012/9, annex, paragraph 23(a).

<sup>78</sup> Adopted as document FCCC/SBI/2012/L.47.

<sup>79</sup> For the text as adopted, see decision 15/CP.18.

129. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this agenda sub-item in informal consultations facilitated by Mr. Peter Wittoeck (Belgium).

## **2. Conclusions**

130. The SBI agreed to continue its consideration of this agenda sub-item at its thirty-eighth session,<sup>80</sup> on the basis of the draft text contained in document FCCC/SBI/2012/33/Add.1, with a view to recommending a draft decision on this matter for adoption by the COP at its nineteenth session.

## **B. Capacity-building under the Kyoto Protocol**

(Agenda item 15(b))

### **Proceedings**

131. The SBI considered this agenda sub-item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2012/21 and FCCC/SBI/2012/MISC.9. Representatives of three Parties made statements, including one on behalf of the Group of 77 and China and one on behalf of AOSIS.

132. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this agenda sub-item in informal consultations facilitated by Mr. Wittoeck.

133. At its 4<sup>th</sup> meeting, the SBI recommended a draft decision<sup>81</sup> for adoption by the CMP at its eighth session.<sup>82</sup>

## **XVI. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance**

(Agenda item 16)

### **1. Proceedings**

134. The SBI considered this agenda sub-item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it document FCCC/KP/CMP/2005/2.

135. At its 2<sup>nd</sup> meeting, the SBI agreed that Ms. Christina Voigt (Norway) and Mr. Ilhomjon Rajabov (Tajikistan) would undertake consultations with Parties on behalf of the Chair.

136. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>83</sup>

### **2. Conclusions**

137. The SBI recalled the proposal from Saudi Arabia to amend the Kyoto Protocol in respect of procedures and mechanisms relating to compliance.<sup>84</sup>

138. It also noted the initial concerns relating to the appropriateness and effectiveness of the procedures and mechanisms in determining and addressing cases of non-compliance with the provisions of the Kyoto Protocol contained in the annex to decision 27/CMP.1.

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<sup>80</sup> See document FCCC/SBI/2012/L.42.

<sup>81</sup> Adopted as document FCCC/SBI/2012/L.38.

<sup>82</sup> For the text as adopted, see decision 10/CMP.8.

<sup>83</sup> Adopted as document FCCC/SBI/2012/L.40.

<sup>84</sup> FCCC/KP/CMP/2005/2.



139. The SBI recognized the ongoing implementation of decision 27/CMP.1 and the work undertaken by Parties to further the development of the compliance mechanism of the Kyoto Protocol.

140. The SBI concluded that no further discussion was required under this agenda item and deemed its consideration of this agenda item completed.

141. On the basis of the above, the SBI recommended that the CMP conclude its consideration of the proposal.

## **XVII. Procedures, mechanism and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism**

(Agenda item 17)

### **1. Proceedings**

142. The SBI considered this agenda item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2011/17, FCCC/SBI/2011/MISC.2 and FCCC/TP/2011/3.

143. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this agenda item in a contact group co-chaired by Mr. Yaw Osafo (Ghana) and Mr. Shimada.

### **2. Conclusions**

144. The SBI agreed to continue its consideration of this matter at its thirty-eighth session<sup>85</sup> on the basis of the draft decision text contained in document FCCC/SBI/2012/33/Add.1, with a view to recommending a draft decision for adoption by the CMP at its ninth session.

## **XVIII. Review of the design of the commitment period reserve**

(Agenda item 18)

### **1. Proceedings**

145. The SBI considered this agenda item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings.

146. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this agenda item in a contact group chaired by Ms. Karoliina Anttonen (Finland).

147. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>86</sup>

### **2. Conclusions**

148. The SBI continued its consideration of the review and revision, as appropriate, of the design of the commitment period reserve for the subsequent commitment period, to support the effective operation of emissions trading, taking into account, inter alia, the relevant rules, modalities, guidelines and procedures for measuring, reporting, verification and compliance, in accordance with the mandate set out in decision 3/CMP.7, paragraphs 2 and 3.

149. The SBI concluded that no changes would be needed to the design of the commitment period reserve as defined under decision 11/CMP.1, for the second

<sup>85</sup> See document FCCC/SBI/2012/L.43.

<sup>86</sup> Adopted as document FCCC/SBI/2012/L.29.

commitment period. The SBI also concluded that in order to support the effective operation of emissions trading during the second commitment period, further decisions may need to be taken by the CMP at its eighth session, or at subsequent sessions of the CMP.

## **XIX. Report of the administrator of the international transaction log under the Kyoto Protocol**

(Agenda item 19)

### **1. Proceedings**

150. The SBI considered this agenda item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it document FCCC/KP/CMP/2012/8.

151. At the 2<sup>nd</sup> meeting, the Chair proposed drafting conclusions on this agenda item, with the assistance of the secretariat and in consultation with interested Parties.

152. At its 4<sup>th</sup> meeting, the SBI considered and adopted the conclusions below.<sup>87</sup>

### **2. Conclusions**

153. The SBI took note of the annual report of the administrator of the international transaction log under the Kyoto Protocol.<sup>88</sup>

154. The SBI also took note of the recommendations contained in paragraph 58(b) and (c) of that report and agreed to continue its consideration of those recommendations at its thirty-eighth session.

## **XX. Administrative, financial and institutional matters**

(Agenda item 20)

### **Audited financial statements for the biennium 2010–2011**

(Agenda item 20(a))

### **Budget performance for the biennium 2012–2013**

(Agenda item 20(b))

#### **Proceedings**

155. The SBI considered this agenda item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings. It had before it documents FCCC/SBI/2012/23, FCCC/SBI/2012/24 and Add.1 and 2 and FCCC/SBI/2012/INF.12 and Corr.1. Representatives of two Parties made statements, as well as the Executive Secretary and a representative of the secretariat.

156. At the 2<sup>nd</sup> meeting, the Chair proposed drafting conclusions on this agenda item, with the assistance of the secretariat and in consultation with interested Parties.

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<sup>87</sup> Adopted as document FCCC/SBI/2012/L.30.

<sup>88</sup> FCCC/KP/CMP/2012/8.

157. At its 4<sup>th</sup> meeting, the SBI recommended a draft decision<sup>89</sup> for adoption by the COP at its eighteenth session<sup>90</sup> and a draft decision<sup>91</sup> for adoption by the CMP at its eighth session.<sup>92</sup>

## **XXI. Other matters**

(Agenda item 21)

### **Proceedings**

158. The SBI considered this agenda item at its 2<sup>nd</sup> meeting, its 3<sup>rd</sup> meeting, held on 28 November, and its 4<sup>th</sup> meeting. Representatives of eight Parties made statements, including one on behalf of the European Union and its 27 member States and Croatia.

159. At its 2<sup>nd</sup> meeting, the SBI noted that it would await the outcome of the COP plenary meeting the following day, at which the issue would be raised.

160. At its 3<sup>rd</sup> meeting, the SBI acknowledged that the COP had referred the issue on enhancing women representation in the UNFCCC process to the SBI for consideration, with a request that it report back to the COP at its closing plenary.<sup>93</sup> The SBI agreed that Ms. Nozipho Mxakato-Diseko (South Africa) and Mr. Shimada would undertake informal consultations on behalf of the SBI Chair on this matter.

161. At its 4<sup>th</sup> meeting, the SBI recommended a draft decision<sup>94</sup> for adoption by the COP at its eighteenth session.<sup>95</sup>

## **XXII. Report on the session**

(Agenda item 22)

162. At its 4<sup>th</sup> meeting, the SBI considered and adopted the draft report on its thirty-seventh session.<sup>96</sup> At the same meeting, on a proposal by the Chair, the SBI authorized the Rapporteur to complete the report on the session, with the assistance of the secretariat and under the guidance of the Chair.

## **XXIII. Closure of the session**

163. At the 4<sup>th</sup> meeting, a representative of the secretariat provided a preliminary estimate of the administrative and budgetary implications of conclusions adopted during the session. This follows decision 16/CP.9, paragraph 20, which requests the Executive Secretary to provide an indication of the administrative and budgetary implications of decisions<sup>97</sup> if these cannot be met from existing resources within the core budget.

164. A representative of the secretariat informed Parties that a number of activities resulting from the negotiations at this session call for extra work by the secretariat and,

<sup>89</sup> Adopted as document FCCC/SBI/2012/L.31.

<sup>90</sup> For the text as adopted, see decision 25/CP.18.

<sup>91</sup> Adopted as document FCCC/SBI/2012/L.32.

<sup>92</sup> For the text as adopted, see decision 13/CMP.8.

<sup>93</sup> See FCCC/CP/2012/8, paragraph 153.

<sup>94</sup> Adopted as document FCCC/SBI/2012/L.36.

<sup>95</sup> For the text as adopted, see decision 23/CP.18.

<sup>96</sup> Adopted as document FCCC/SBI/2012/L.27.

<sup>97</sup> While decision 16/CP.9 refers to “decisions”, it also has implications for conclusions of the subsidiary bodies.

therefore, the secretariat requires additional resources in the coming year over and above the core budget for 2012–2013. These include the following:

(a) Under agenda item 4(a), “Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention”, funding in the amount of EUR 500,000 is required in 2013 for the development and translation of CGE training material and for the development and operation of e-learning courses;

(b) Under agenda item 7(b), “Matters relating to the least developed countries”, the secretariat has been requested to prepare a report on the regional training workshops and to organize an event on the NAP process. The cost of these activities is estimated at about EUR 50,000.

165. Additional requests for outputs to be delivered by the secretariat in 2013, including those requiring further funding, are contained in decisions adopted by the COP at its eighteenth session and the CMP at its eighth session pursuant to recommendations by the SBI.

166. The Chair noted that in the absence of adequate additional funding, the secretariat may not be in a position to undertake the requested activities.

167. At the same meeting, statements were made by representatives of six Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of the Umbrella Group, one on behalf of the African States, one on behalf of the European Union and its 27 member States and Croatia, and one on behalf of the LDCs. A statement was also made on behalf of women and gender.

## Annex

### Documents before the Subsidiary Body for Implementation at its thirty-seventh session

#### Documents prepared for the session

FCCC/SB/2012/2	Report on activities and performance of the Technology Executive Committee for 2012
FCCC/SB/2012/3	Report of the Adaptation Committee
FCCC/SBI/2012/3	Report on progress made in, and the effectiveness of, the implementation of the amended New Delhi work programme on Article 6 of the Convention. Note by the secretariat
FCCC/SBI/2012/4	Report on the further development of CC:iNet. Note by the secretariat
FCCC/SBI/2012/5	Possible elements of a successor work programme on Article 6 of the Convention. Note by the secretariat
FCCC/SBI/2012/8	Synthesis report on the support for the national adaptation plan process for the least developed countries. Note by the secretariat
FCCC/SBI/2012/16	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/SBI/2012/17	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: report on the hands-on training workshop for the Latin America and Caribbean region on vulnerability and adaptation assessment. Note by the secretariat
FCCC/SBI/2012/18	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: report on the hands-on training workshop for the Latin America and Caribbean region on mitigation assessment. Note by the secretariat
FCCC/SBI/2012/19	Report on the workshop on the implementation of Article 6 of the Convention in the least developed countries. Note by the secretariat
FCCC/SBI/2012/20	Summary report on the first meeting of the Durban Forum on Capacity-building. Note by the secretariat
FCCC/SBI/2012/21	Synthesis report on the implementation of the framework for capacity-building in developing countries. Note by the secretariat
FCCC/SBI/2012/22	Compilation and synthesis report on capacity-building work undertaken by bodies established under the Convention. Note by the secretariat
FCCC/SBI/2012/23	Budget performance for the biennium 2012–2013 as at 30 June

	2012. Note by the Executive Secretary
FCCC/SBI/2012/24	Report of the United Nations Board of Auditors. Note by the Executive Secretary
FCCC/SBI/2012/24/Add.1	Report of the United Nations Board of Auditors. Note by the Executive Secretary. Addendum. Comments by the secretariat
FCCC/SBI/2012/24/Add.2	Report of the United Nations Board of Auditors. Note by the Executive Secretary. Addendum. Audited financial statements for the biennium 2010–2011
FCCC/SBI/2012/25	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: report on the hands-on training workshop for the Africa region on national greenhouse gas inventories. Note by the secretariat
FCCC/SBI/2012/26	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: report on the hands-on training workshop for the Africa region on mitigation assessment. Note by the secretariat
FCCC/SBI/2012/27	Report on the twenty-second meeting of the Least Developed Countries Expert Group. Note by the secretariat
FCCC/SBI/2012/28	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the secretariat
FCCC/SBI/2012/29	Report on the regional expert meetings on a range of approaches to address loss and damage associated with the adverse effects of climate change, including impacts related to extreme weather events and slow onset events. Note by the secretariat
FCCC/SBI/2012/30	Report on the discussions on key elements of the potential host agreement for the Climate Technology Centre. Note by the secretariat
FCCC/SBI/2012/31	National greenhouse gas inventory data for the period 1990–2010. Note by the secretariat
FCCC/SBI/2012/32	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: report on the hands-on training workshop for the Asia and Pacific region on vulnerability and adaptation assessment. Note by the secretariat
FCCC/SBI/2012/INF.2	Views of the Adaptation Fund Board on the report of the review of the interim arrangements of the Adaptation Fund. Note by the secretariat
FCCC/SBI/2012/INF.8/Rev.1	Compilation and synthesis of additional, disaggregated information on the administrative costs of the Adaptation Fund Board. Revised note by the secretariat
FCCC/SBI/2012/INF.9	Efficient, cost-effective and practical international

	consultations and analysis process. Note by the secretariat
FCCC/SBI/2012/INF.10	Information on financial support provided by the Global Environment Facility for the preparation of national communications from Parties not included in Annex I to the Convention. Note by the secretariat
FCCC/SBI/2012/INF.11	Status of submission and review of fifth national communications. Note by the secretariat
FCCC/SBI/2012/INF.12 and Corr.1	Status of contributions as at 15 November 2012. Note by the secretariat
FCCC/SBI/2012/INF.13	Synthesis report on the progress made in the implementation of the least developed countries work programme, including the updating and implementation of national adaptation programmes of action. Note by the secretariat
FCCC/SBI/2012/INF.14	A literature review on the topics in the context of thematic area 2 of the work programme on loss and damage: a range of approaches to address loss and damage associated with the adverse effects of climate change. Note by the secretariat
FCCC/SBI/2012/INF.15	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: concept note on the e-learning programmes on the preparation of national communications from Parties not included in Annex I to the Convention. Note by the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention
FCCC/SBI/2012/MISC.1	Information on how organizations and agencies have responded to the invitation to support the national adaptation plan process in the least developed countries and consider establishing support programmes. Submissions from United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies
FCCC/SBI/2012/MISC.2 and Add.1	Information on support to the national adaptation plan process in the least developed countries. Submissions from Parties and relevant organizations as well as bilateral and multilateral agencies
FCCC/SBI/2012/MISC.3	Information from the Global Environment Facility, as an operating entity of the financial mechanism for the operation of the Least Developed Countries Fund, on how it could enable activities undertaken as part of the national adaptation plan process in the least developed countries. Submission from the Global Environment Facility
FCCC/SBI/2012/MISC.4	Views of Parties, intergovernmental and non-governmental organizations, and stakeholders, on the review of the implementation of the amended New Delhi work programme and on possible elements of a successor work programme on Article 6 of the Convention. Submissions from Parties and

	relevant organizations
FCCC/SBI/2012/MISC.9	Activities to implement the framework for capacity-building in developing countries under decision 2/CP.7. Submissions from Parties
FCCC/SBI/2012/MISC.10	Views and recommendations from Parties on elements to be taken into account in developing guidance to the Global Environment Facility. Submissions from Parties
FCCC/SBI/2012/MISC.11 and Add.1	Further views from Parties on the review of the Adaptation Fund in accordance with the annex to decision 6/CMP.6 and decision 1/CMP.3, paragraph 33. Submissions from Parties
FCCC/SBI/2012/MISC.12 and Add.1	Information from Parties on their experience with the implementation of the least developed countries work programme, including the updating and implementation of national adaptation programmes of action, and in accessing funds from the Least Developed Countries Fund. Submissions from Parties
FCCC/SBI/2012/MISC.14 and Add.1 and Add.2	Views and information from Parties and relevant organizations on the possible elements to be included in the recommendations on loss and damage in accordance with decision 1/CP.16. Submissions from Parties and relevant organizations
FCCC/SBI/2012/MISC.15	Information from Parties not included in Annex I to the Convention on the detailed costs incurred, including in-kind contributions, for the preparation of their most recent national communications, and on the financial resources received through the Global Environment Facility. Submissions from Parties
<b>Other documents before the session</b>	
FCCC/SBI/2011/MISC.2	Views on procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism. Submissions from Parties and relevant organizations
FCCC/CP/2012/6	Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat
FCCC/CP/2011/7/Add.2	Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat. Addendum
FCCC/KP/CMP/2012/7	Report of the Adaptation Fund Board. Note by the Chair of the Adaptation Fund Board
FCCC/KP/CMP/2012/8	Annual report of the administrator of the international log under the Kyoto Protocol. Note by the secretariat
FCCC/KP/CMP/2012/9 and Add.1	Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2012. Note by the secretariat
FCCC/KP/CMP/2011/6 and Add.1	Report of the Adaptation Fund Board. Note by the secretariat



FCCC/KP/CMP/2011/MISC.1	Views on the review of the Adaptation Fund. Submissions from Parties and interested international organizations and stakeholders
FCCC/KP/CMP/2005/2	Proposal from Saudi Arabia to amend the Kyoto Protocol. Note by the secretariat
FCCC/TP/2012/7	Slow onset events. Technical paper
FCCC/TP/2011/3	Procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism. Technical paper

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