

## Decision 3/CMP.9

### Guidance relating to the clean development mechanism

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* the provisions of Articles 3 and 12 of the Kyoto Protocol and decision 1/CMP.6,

*Cognizant* of decision 3/CMP.1 and subsequent guidance provided by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol relating to the clean development mechanism,

#### I. General

1. *Welcomes* the annual report for 2012–2013 of the Executive Board of the clean development mechanism;<sup>1</sup>
2. *Commends* the Executive Board for the substantial work undertaken over the past year;
3. *Expresses satisfaction* with the success of the clean development mechanism in the first commitment period of the Kyoto Protocol, which to date has been responsible for over 7,300 project activities being registered in over 90 countries, over 1,500 component project activities being included in over 230 programmes of activities registered in over 60 countries, over 1.4 billion certified emission reductions being issued and over USD 215 billion being invested;
4. *Expresses concern* regarding the difficult market situation currently faced by participants in the clean development mechanism and the consequent loss of institutional capacity related to the mechanism, both of which are threatening the value of the clean development mechanism as a tool for Parties to collaborate in achieving the objective of the Convention;
5. *Encourages* Parties to make greater use of the clean development mechanism to ensure the continued success of the mechanism beyond the first commitment period of the Kyoto Protocol with regard to its contribution to the achievement of the objective of the Convention;

#### II. Governance

6. *Designates* as operational entities those entities that have been accredited, and provisionally designated, as operational entities by the Executive Board to carry out the sector-specific validation functions and/or sector-specific verification functions described in the annex;
7. *Urges* the Executive Board to expedite its work on evaluating the use of the voluntary sustainable development tool and to report on its findings to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its tenth session (December 2014);

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<sup>1</sup> FCCC/KP/CMP/2013/5 (Parts I and II).

8. *Requests* the Executive Board to develop guiding tools to assist designated national authorities, upon the request of the host Party and on a voluntary basis, in monitoring the sustainable development benefits in its territory of clean development mechanism project activities and programmes of activities, recognizing that the use of such guiding tools is the prerogative of Parties and subject to the availability of funds from Parties included in Annex I;
9. *Encourages* the Executive Board to enhance its interactions with designated national authorities and designated operational entities through its existing forums;

### **III. Baseline and monitoring methodologies and additionality**

10. *Requests* the Executive Board to analyse allowing the validation of monitoring plans for small-scale and microscale project activities and programmes of activities before their first verifications;
11. *Reiterates* its encouragement to the Executive Board, as contained in decision 5/CMP.8, to continue its work on the simplification and streamlining of methodologies, with the aim of reducing transaction costs for all project activities and programmes of activities, especially those in regions underrepresented in the clean development mechanism;
12. *Requests* the Executive Board to analyse the thresholds for component project activities to qualify as microscale activities in programmes of activities, taking into account regional circumstances while ensuring environmental integrity;
13. *Also requests* the Executive Board to expedite its work on the development of country-specific baseline and additionality thresholds for sectors in countries underrepresented in the clean development mechanism, in coordination with those countries;
14. *Reiterates* its request to the Executive Board, as contained in decision 3/CMP.6, to examine alternative approaches to the demonstration and assessment of additionality;
15. *Confirms* that, after the expiry of its crediting period, a project activity or programme of activities that has been registered as a clean development mechanism project activity or programme of activities may not be re-registered as a new clean development mechanism project activity or programme of activities;
16. *Recognizes* that a new project activity or component project activity could be registered at the same physical or geographical location at which a project activity or component project activity whose crediting period has expired existed, if the new project activity or component project activity is not a continuation or modification of the old project activity or component project activity;
17. *Requests* the Executive Board to report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its tenth session on the implementation of paragraph 16 above, including on criteria established to determine whether a project activity or component project activity is a continuation or modification of another project activity or component project activity, and, if necessary, to also make recommendations on possible changes to the modalities and procedures for the clean development mechanism;

#### **IV. Registration of clean development mechanism project activities and issuance of certified emission reductions**

18. *Requests* the Executive Board to simplify and streamline the validation process for project activities and programmes of activities that are deemed to be automatically additional;

19. *Also requests* the Executive Board to further improve and streamline the regulations for programmes of activities, including those for programmes of activities with more than one host Party;

20. *Further requests* the Executive Board, with the support of the secretariat, to collaborate with the Designated National Authorities Forum on collecting and making available, on the UNFCCC clean development mechanism website, information on practices conducted for local stakeholder consultations, and to provide technical assistance to designated national authorities, upon their request, for the development of guidelines for local stakeholder consultation in their countries;

21. *Requests* the Executive Board, based on the experience gained through the application of materiality, as defined in decision 9/CMP.7, and in consultation with the Designated Operational Entities/Accredited Independent Entities Coordination Forum, to review the concept of materiality in the verification process and, if applicable, how it can be further applied in the clean development mechanism;

#### **V. Regional and subregional distribution**

22. *Reiterates* its invitation to Parties and institutions wishing to do so to make voluntary contributions to the clean development mechanism Loan Scheme, as described in decision 3/CMP.6, in order to expand the capacity of the scheme;

23. *Welcomes* the progress made in establishing the regional collaboration centres to promote the clean development mechanism in regions underrepresented in the mechanism and to support stakeholders at the regional and national levels;

24. *Reiterates* its request to the secretariat, as contained in decision 8/CMP.7, to continue enhancing its provision of support to Parties underrepresented in the clean development mechanism;

25. *Also reiterates* its encouragement to designated operational entities, as contained in decisions 2/CMP.5 and 5/CMP.8, to establish offices in developing countries in order to reduce transaction costs for those countries and contribute to achieving a more equitable distribution of clean development mechanism project activities and programmes of activities.

## Annex

**Entities accredited and provisionally designated by the Executive Board of the clean development mechanism in the reporting period, including entities for which the scope of accreditation was extended**

<i>Name of entity</i>	<i>Project validation</i>	<i>Emission reduction verification</i>
Instituto Brasileiro de Opinião Pública e Estatística Ltda. (IBOPE) <sup>a</sup>		1
Shenzhen CTI International Certification Co., Ltd (CTI) <sup>a</sup>	1–4, 6, 7, 9, 10 and 13	1–4, 6, 7, 9, 10 and 13
Ernst & Young Associés (France) (EYG) <sup>c</sup>	14	14
JACO CDM., LTD (JACO) <sup>d</sup>	5–12 and 15 voluntary partial withdrawal	5–12 and 15 voluntary partial withdrawal
JACO CDM., LTD (JACO) <sup>d</sup>	2 and 4 voluntary partial withdrawal	2 and 4 voluntary partial withdrawal
Bureau Veritas Certification Holding SAS (BVCH) <sup>c</sup>	1–15	1–15
Korean Register of Shipping (KR) <sup>a</sup>	1, 7 and 13	1, 7 and 13
Lloyd's Register Quality Assurance Ltd. (LRQA) <sup>c</sup>	1–13	1–13
EPIC Sustainability Services Pvt. Ltd <sup>a</sup>	1–11 and 13–15	1–11 and 13–15
Northeast Audit Co., Ltd. (NAC) <sup>a</sup>	1–13 and 15	1–13 and 15
Conestoga Rovers & Associates Limited (CRA) <sup>c</sup>	1, 4, 5, 10, 12 and 13	1, 4, 5, 10, 12 and 13
TÜV NORD CERT GmbH (TÜV NORD) <sup>b, c</sup>	1–15 and extend its accreditation to sectoral scope 16	1–15 and extend its accreditation to sectoral scope 16
LGAI Technological Center, S.A. (LGAI Tech. Center S.A) <sup>c</sup>	1 and 13	1 and 13
Ernst & Young Sustainability Co., Ltd. (EYSUS) <sup>d</sup>	1–3 voluntary withdrawal for entire accreditation	1–3 voluntary withdrawal for entire accreditation
Nippon Kaiji Kentei Quality Assurance Limited (NKKKQA) <sup>d</sup>	1, 3, 4, 5, 7, 12 and 13 voluntary withdrawal for entire accreditation	1, 3, 4, 5, 7, 12 and 13 voluntary withdrawal for entire accreditation

<sup>a</sup> Accreditation granted for three years.

<sup>b</sup> Extension of scope. For the entities for which the scope of accreditation was extended, only the new sectoral scopes are indicated.

<sup>c</sup> Reaccreditation for three years.

<sup>d</sup> Voluntary withdrawal of accreditation. Only the withdrawn sectoral scopes are indicated.

*9<sup>th</sup> plenary meeting  
23 November 2013*